

<u>Annexure – B (Stock broker)</u> <u>Annexure –C (DP)</u>

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for every month ending

S N	Receive d from	Carried forwar d from previou s month	Receive d during the month	Total Pendin g	Resolve d*	Pending at the end of the month**		Average Resolution time [^] (in days)
						Pending for less than 3 month s	for more than 3 month s	
1	2	3	4	5	6	7	7	8
1	Directly from Investors	0	0	0	0	()	0
2	SEBI (SCORE S)	0	0	0	0	0		0
3	Stock Exchang es/Depo sitories	0	0	0	0	0		0
4	Other Sources (if any)	0	0	0	0	0		0
5	Grand Total	0	0	0	0	()	0

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous	Received	Resolved*	Pending**
		month			
1	2	3	4	5	6
1	April -2022	0	0	0	0
2	May-2022	0	0	0	0
3	June-2022	0	0	0	0
4	July-2022	0	0	0	0
5	Aug-2022	0	0	0	0
6	Sept-2022	0	0	0	0
7	Oct-2022	0	0	0	0
8	Nov-2022	0	0	0	0
9	Dec-2022	0	0	0	0
10	Jan-2023	0	0	0	0
11	Feb-2023	0	0	0	0
12	March-2023	0	0	0	0
	Grand Total	0	0	0	0

^{*}Should include complaints of previous months resolved in the current month, if any.

Trend of annual disposal of complaints

SN	Year	Carried forward	Received	Resolved	Pending at
		from previous	during the year	during the	the end of
		year		year	the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23 (up to March2023)	0	0	0	0
	Grand Total	0	0	0	0

^{**}Should include total complaints pending as on the last day of the month, if any.

[^]Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.